

(1390 REV. 5-93) US DEPT. OF COMMERCE PATENT & TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 107792
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (if known, sec 37 C.F.R.1.5) <b>09/674714</b>
INTERNATIONAL APPLICATION NO. PCT/DK98/00531	INTERNATIONAL FILING DATE December 7, 1998	PRIORITY DATE CLAIMED May 15, 1998
TITLE OF INVENTION A UNIT COMPRISING A CARD READ/WRITE DEVICE		
APPLICANT FOR DO/EO/US Bjarke DE JAEGER GOTFREDSEN		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). <b>Items 11. to 16. below concern other document(s) or information included:</b> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> Entitlement to small entity status is hereby asserted. 16. <input checked="" type="checkbox"/> Other items or information: PCT Request		

U.S. APPLICATION NO. (if known, see 37  
C.F.R. 1.5) 09/674714INTERNATIONAL APPLICATION NO.  
PCT/DK98/00531ATTORNEY'S DOCKET NUMBER  
10779217. ☒ The following fees are submitted:

CALCULATIONS

PTO USE ONLY

**Basic National fee (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO ....\$860.00

International preliminary examination fee paid to USPTO  
(37 CFR 1.482) .....\$690.00No international preliminary examination fee paid to USPTO  
(37 CFR 1.482) but international search fee paid to USPTO  
(37 CFR 1.445(a)(2)) .....\$710.00Neither international preliminary examination fee (37 CFR  
1.482) nor international search fee (37 CFR 1.445(a)(2))  
paid to USPTO .....\$1,000.00International preliminary examination fee paid to USPTO  
(37 CFR 1.482) and all claims satisfied provisions of PCT  
Article 33(2)-(4) .....\$ 100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than  
☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR  
1.492(e)).

\$

Claims

Number Filed

Number  
Extra

Rate

Total Claims

2- 20 =

0

X \$ 18.00

\$

Independent Claims

1- 3 =

0

X \$ 80.00

\$

Multiple dependent claim(s)(if applicable)

+ \$270.00

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$860.00

Reduction by 1/2 for filing by small entity, if applicable.

-

\$

**SUBTOTAL =**

\$860.00

Processing fee of \$130.00 for furnishing the English translation later  
than ☐ 20 ☐ 30 month from the earliest claimed priority date (37 CFR  
1.492(f)).

+

\$

**TOTAL NATIONAL FEE =**

\$860.00

Amount to be  
refunded

\$

Charged


\$

- a. ☒ Check No. 113444 in the amount of \$860.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

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P.O. Box 19928  
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NAME: James A. Oliff  
REGISTRATION NUMBER: 27,075

NAME: Thomas J. Pardini  
REGISTRATION NUMBER: 30,411

A unit comprising a card read/write device

The invention relates to a unit which comprises a card reader.

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It is well-known to use a unit which comprises a card read/write device in connection with computers, so that the device when connected to a computer can transfer information between the computer and a card which is placed in the device.

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It is noted that the term card reader is used as a generic term for card read/write devices, e.g. a SmartCard, in the following.

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It is known that a card reader may be incorporated in the keyboard of the computer. This solution, however, has the drawback that it is complicated and thereby expensive. This solution has the additional drawback that it is necessary to replace an already operating keyboard by a new keyboard when it is desired to extend an operating computer to also comprise a card reader, and that both keyboard and card reader have to be replaced if one of these parts fails.

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This solution has the additional drawback that the user's freedom in the selection of keyboard is limited. The reason is that a user who wants the keyboard to contain a card reader will be forced to select such a one and can be forced to refrain from making further demands on his keyboard. These demands might e.g. be the wish for a special ergonomic design of it.

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A card reader may also be incorporated in a separate unit which may be connected to the computer. This solution, however, has the serious drawback that, in addition to

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being relatively expensive, it also means that extra space is occupied around the computer. Thus, it will frequently involve difficulty in having an extra unit connected while fulfilling the wish for giving it a central position so as to obtain easy access to the card reader.

Additionally, it is possible to incorporate the card reader in the cabinet of the computer itself. This, however, requires mounting by a skilled person, which impedes the installation of a card reader in a computer for a user. The card reader will also take up one of the limited number of slots which are present in the cabinet of the computer, and occupy a slot which can then not be used for the mounting of other units in the computer.

Accordingly, an object of the invention is to provide a unit of the type mentioned in the opening paragraph which obviates the drawbacks mentioned in the foregoing.

This is achieved according to the invention by performing the method as stated in the characterizing portion of claim 1.

The invention is based on that circumstance that it is well-known to use a mouse pad when using a mouse in connection with the use of a computer. The mouse pad is placed under the mouse to ensure that this operates as intended, by providing a desired friction between the ball of the mouse and the base on which the ball rests so that the ball rolls when the mouse is moved.

When the unit according to the invention is formed by a mouse pad, it is ensured inter alia that, in use, this will be placed at the mouse, and that the read/write unit thus has the desired central position relative to the

computer, and that the other drawbacks of units having the prior art read/write device are obviated.

An expedient embodiment is defined in claim 2, said card  
5 read/write device being formed by a SmartCard unit.

The invention will now be described more fully below with reference to the drawing, in which

10 fig. 1 shows an embodiment of the technical structure of a unit according to the invention, and

fig. 2 shows an embodiment of a unit according to the invention.

15 The invention relates to devices capable of reading information from cards, devices capable of writing information on cards, as well as devices capable of performing both reading and writing of information on cards.

20 It is noted that the term card reader is used as a generic term for card read/write devices, e.g. a SmartCard, in the following. In the embodiment described, the card is a SmartCard and the card reader is thus a card reader  
25 for SmartCards. This unit is called SmartCard read/write unit in the following.

The unit, which is also called the product, the mouse pad and the ScardPad, respectively, in the following, has an  
30 incorporated SmartCard read/write unit. The ScardPad is connected to a PC by a cable (RS232 or USB). The upper side of the ScardPad is provided with advertising prints. It is noted that the ScardPad may be connected to the computer in several ways. For example, the connection may  
35 be carried out as a connection of the ScardPad via a serial port or via a parallel port in the computer. The

connection may also conceivably be performed via a USB port, which is a special type of port that may be used for connecting a plurality of units (typically up to 63 units) to the computer. The connection between the computer and the ScardPad creates a link between the computer and the card reader in the ScardPad so that data may be transferred between the computer and a card which is placed in the card reader.

10 All PC users, who are to use SmartCards in future in connection with the use of various applications, such as e.g. home banking, Internet trade, encryption, etc., will need a SmartCard read/write unit in connection with their PC equipment. Today, the vast majority of PC users are  
15 Windows users, and they will therefore have to use a mouse in order to navigate in the applications. A ScardPad is therefore a must for a great part of the 92% of all PC users who today use Windows (stated by MicroSoft, Denmark).

20 A ScardPad is also one of the peripheral units which is not dependent on other parts of the PC user's configuration, and it is therefore not necessary to replace it when the PC is replaced.

25 But most importantly, the ScardPad is a unique "advertisement display pillar", and also so inexpensive that major companies and associations can use it as an advertising gift when new applications are to be introduced with the users. For example, when launching their  
30 CashCard, VISA could have included a ScardPad so that their users would immediately have been able to make cash purchases via the Internet without any security risks. As the introduction was made, the users interested in Internet trading themselves had to invest in one of the Smart-  
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Card read/write units which are commercially available - and these are relatively expensive.

The ScardPad is constructed like a conventional mouse pad, except that a SmartCard read/write unit is embedded (glued) in the foam rubber intermediate layer of the pad. The base has glued thereon a non-skid bottom face, and the top a layer on which the navigation ball of the mouse moves. This top layer is used for advertising (logos, text, pictures, etc.).

The ScardPad is connected to the PC by a cable on which a serial plug (Sub-D-RS232) is mounted. An adapter, e.g., may be supplied together with the pad so that the pad may be connected to a USB plug.

Fig. 1 shows an embodiment of a unit according to the invention which comprises

1 conventional mouse pad, 245 x 205 mm and min. 6 mm thick,

1 SmartCard Connector which complies with the specifications of ISO 7816 (the Connector is commercially available from Farnell among others - commodity number 7001PM020812A),

1 25-poled SUB-D male plug (Farnell commodity number CF25,150-766),

1 keyboard "power thief". May be bought ready for use, alternatively be made of 2 PS2 keyboard plugs (male and female), with +5V and ground pulled out separately,

1 PCB printed circuit board having the dimensions (HxLxW)  
1.6 x 70 x 58 mm, provided with print paths according to  
specifications, and

- 5 2 10 Kohms resistors (R1, R2). (Farnell commodity number  
509-280).

The unit may be constructed in the following way:

- 10 Connect +5 Volts from  
SmartCard connection 1 (VCC)  
The power thief +5 Volts connection  
R1  
R2
- 15 Connect ground /GND from  
SmartCard connection 5 (GND)  
The power thief GND connection
- 20 Connect Clock from  
SUB-D plug pin 2 (DATA0)  
SmartCard connection 3 (SCL)  
R1
- 25 Connect Data from  
SUB-D plug pin 1 (C/STROBE)  
SmartCard connection 7 (SDA)  
R2
- 30 The unit may be connected to a PC (Personal Computer) or  
another type of computer in the following way:
- The power thief is plugged into the keyboard plug in the  
PC.
- 35 The keyboard plug is plugged into the power thief.



The SUB-D plug is plugged into the printer port of the PC.

The read/write unit may be used for ordinary SmartCards with I<sup>2</sup>C communication (2-conductor serial).

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Fig. 2 shows an embodiment of a unit according to the invention. The ScardPad consists of a conventional mouse pad, made in three layers, a SmartCard read/write unit, a cable with a 9-poled SUB-D plug, an adapter and a USB plug. The read/write unit is embedded in the intermediate layer (foam rubber) of the mouse pad, and the cable soldered thereon is passed out to the rear side of the mouse pad in a channel in the foam rubber. The product development phase will show whether the foam rubber layer is to be formed by moulding or by cutting.

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A non-skid rubber backing is glued on the base of the pad, and a top layer provided with advertising prints is glued on the top. This layer is to give the correct friction against the navigation ball of the mouse.

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Although a preferred embodiment of the present invention has been described and shown, the invention is not restricted to it, but may also be embodied in other ways within the subject-matter defined in the following claims. For example, a unit according to the invention may be adapted to be connected to a computer via its parallel port and communicate with the computer via the port. Further, a unit according to the invention may be used in connection with personal computers, but of course also in connection with other types of computers, as well as computer terminals. Further, a unit according to the invention may be used in connection with other types of cards, such as e.g. cards with magnetic strips.

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## P a t e n t   C l a i m s :

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1. A unit which comprises a card read/write device,  
5 c h a r a c t e r i z e d in that the unit is formed by  
a mouse pad which is adapted to be connected to a com-  
puter so that the connection creates a link between the  
computer and the card read/write device.
- 10 2. A unit according to claim 1, c h a r a c t e r -  
i z e d in that the card read/write device is formed by  
a SmartCard unit.

1/2

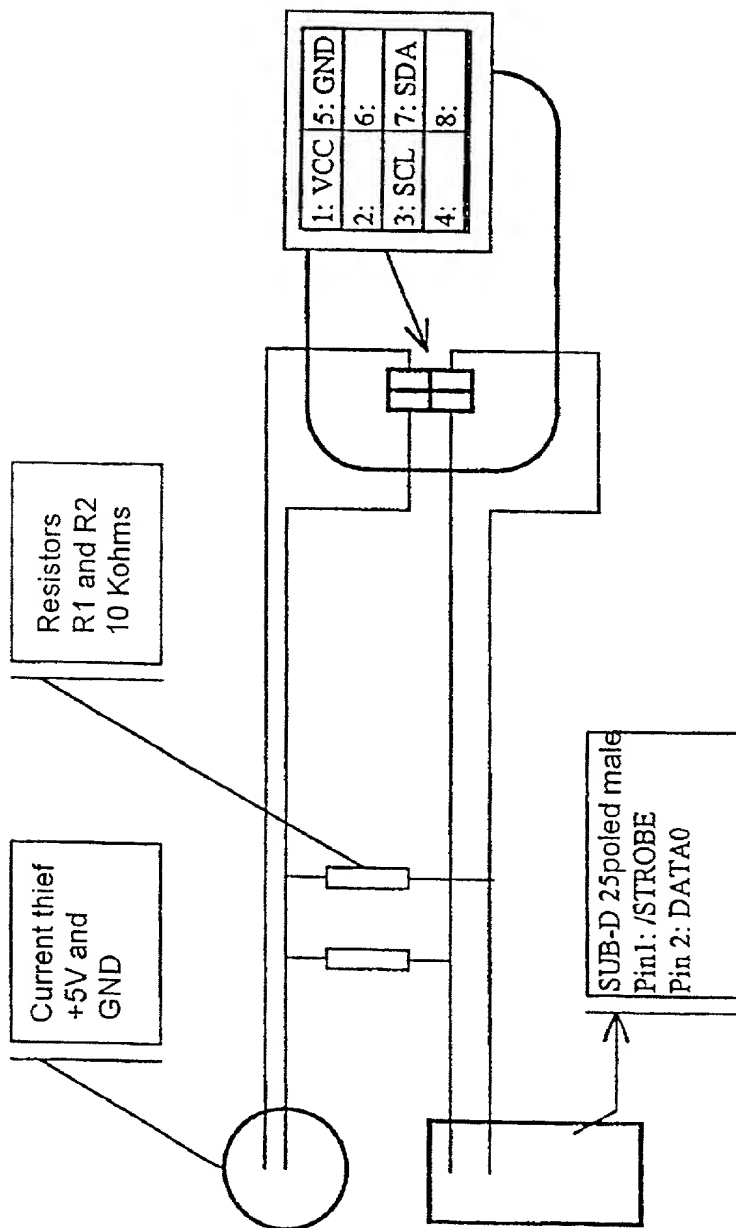


FIG. 1

2/2

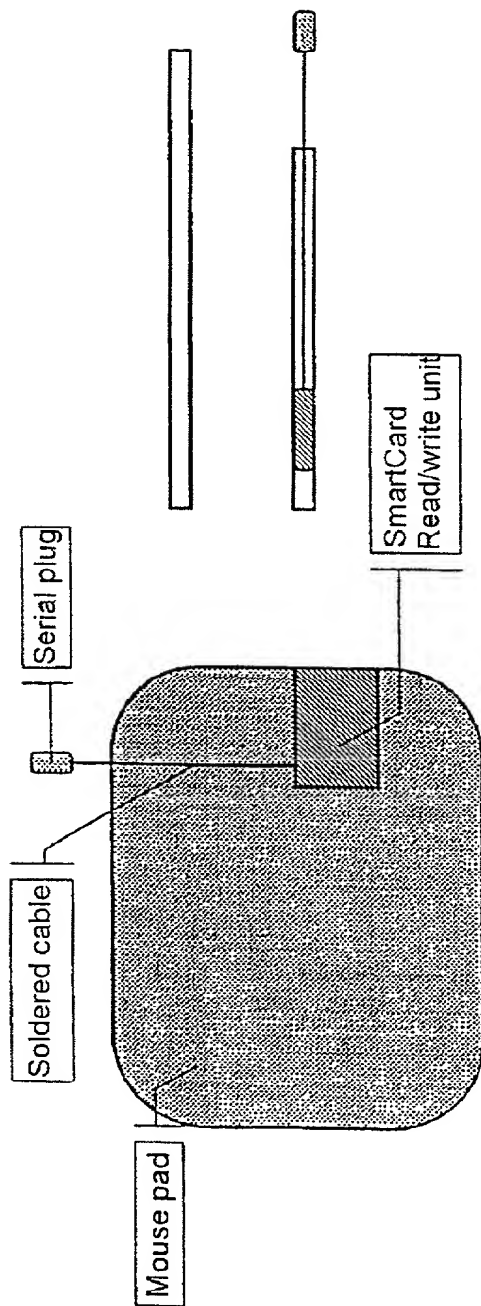


FIG. 2

100  
09/14/98  
14  
Docket No.: \_\_\_\_\_

**DECLARATION AND POWER OF ATTORNEY  
UNDER 35 USC §371(c)(4) FOR  
PCT APPLICATION FOR UNITED STATES PATENT**

As a below named inventor, I hereby declare that:  
my residence, post office address and citizenship are as stated below under my name;

I verily believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought, namely the invention entitled: A unit comprising a card read/write device...

described and claimed in international application number PCT/DK98/00531 filed 7 December 1998.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations §1.56.

Under Title 35, U.S. Code §119, the priority benefits of the following foreign application(s) filed within one year prior to my international application are hereby claimed:

Danish Utility Model Application No. 98 00180 filed on 15 May 1998

The following application(s) for patent or inventor's certificate on this invention were filed in countries foreign to the United States of America either (a) more than one year prior to my international application, or (b) before the filing date of the above-named foreign priority application(s):

I hereby appoint the following as my attorneys of record with full power of substitution and revocation to prosecute this application and to transact all business in the Patent Office:

8 James A. Oliff, Reg. No. 27,075; William P. Berridge, Reg. No. 30,024;

Kirk M. Hudson, Reg. No. 27,562; Thomas J. Pardini, Reg. No. 30,411;

Edward P. Walker, Reg. No. 31,450; Robert A. Miller, Reg. No. 32,771;

Mario A. Costantino, Reg. No. 33,565; and Caroline D. Dennison, Reg. No. 34,494.

ALL CORRESPONDENCE IN CONNECTION WITH THIS APPLICATION SHOULD BE SENT TO OLIFF & BERRIDGE, PLC, P.O. BOX 19928, ALEXANDRIA, VIRGINIA 22320, TELEPHONE (703) 836-6400.

I hereby declare that I have reviewed and understand the contents of this Declaration, and that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-10

1	Typewritten Full Name of Sole or First Inventor	<u>Bjarke</u>	<u>De-Jeager</u>	<u>Gotfredsen</u>
		Given Name	Middle Initial	Family Name
2	Inventor's Signature	<u>BW</u>		
3	Date of Signature	<u>11</u>	<u>24</u>	<u>2000</u>
		Month	Day	Year
	Residence:	<u>Ishøj</u>		<u>Denmark</u>
		City	State or Province	Country
	Citizenship:	<u>Danish</u>		
	Post Office Address:	<u>C/O Scard Development ApS</u>		
	(Insert complete mailing address, including country)	<u>Smedeengen 11, DK-2635 Ishøj, Denmark</u>		

Note to Inventor: Please sign name on line 2 exactly as it appears in line 1 and insert the actual date of signing on line 3.